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**UTILITY
PATENT APPLICATION
TRANSMITTAL**

Attorney Docket No. 410722001000

First Inventor John D. PROHASKA

Title RE-CONFIGURABLE WAVELENGTH AND DISPERSION SELECTIVE DEVICE

Only for new nonprovisional applications under 37 CFR 1.53(b)

CERTIFICATE OF HAND DELIVERY

I hereby certify that this correspondence is being hand filed with the United States Patent and Trademark Office in Washington, D.C. on October 15, 2001.

Peter J. Davis

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

ADDRESS TO:Commissioner for Patents
Box Patent Application
Washington, DC 202311. ☒ Fee Transmittal Form (e.g. PTO/SB/17)

(Submit an original, and a duplicate for fee processing)

2. ☐ Applicant claims small entity status.

See 37 CFR 1.27.

3. ☒ Specification

(preferred arrangement set forth below)

- Descriptive title of the invention
- Cross Reference to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the invention
- Brief Summary of the invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

[Total Pages 10]

4. ☒ Drawing(s) (35 USC 113)

[Total Sheets 10]

5. Oath or Declaration

[Total Pages 1]

a. ☐

Newly executed (original or copy)

b. ☐Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 18 completed)i. ☐**DELETION OF INVENTOR(S)**

Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

6. ☒ Application Data Sheet. See 37 CFR 1.767. ☐ CD-ROM or CD-R in duplicate, large table or Computer

Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)a. ☐

Computer Readable Form (CRF)

b. Specification Sequence Listing on:

i. ☐ CD-ROM or CD-R (2 copies); orii. ☐ paperc. ☐

Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS9. ☐

Assignment Papers (cover sheet & document(s))

10. ☐37 CFR 3.73(b) Statement
(where there is an assignee)☐

Power of Attorney

11. ☐

English Translation document (if applicable)

12. ☐Information Disclosure Statement
(IDS)/PTO-1449☐Copies of IDS
Citations13. ☐

Preliminary Amendment

14. ☒Return Receipt Postcard (MPEP 503)
Should be specifically itemized15. ☐Certified Copy of Priority Document(s)
(if foreign priority is claimed)16. ☐Request and Certification under 35 U.S.C. 122(b)(2)(B)(i). Applicant
must attach form PTO/SB/35 or its equivalent.17. ☒

Other FEE DETERMINATION RECORD

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation☐ Divisional☐ Continuation-in-part (CIP)

of prior application No:

Prior application information.

Examiner *

Group / Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. This incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS☒ Customer Number or Bar Code Labelor ☐ Correspondence address below

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Name (Print/Type)	Peter J. Davis	Registration No. (Attorney/Agent)	36,119
Signature	Date October 15, 2001		